1	Experimental investigation of pipes with flexible joints under fault rupture
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**KEYWORDS**: experimental tests, buried pipes, flexible joints, fault rupture, numerical analysis

## 47 ABSTRACT

Objective of the present study is the experimental investigation and comparison of the response of continuous pipes and pipes with internal flexible joints under imposed transverse displacement, modeling seismic fault rupture. Three-point bending tests were performed modeling the deformation of buried pipes subjected to fault offset. The introduction of flexible joints between adjacent pipeline parts is proposed as an alternative protection measure to reduce developing strains due to such offsets. Indeed, experimental results confirmed very significant contribution of flexible joints in strain reduction, thus providing strong promise of effective protection of buried pipes from the principal failure modes occurring in such cases, i.e. local buckling of pipe wall and tensile fracture of girth welds between adjacent pipeline segments. Experimental results have been sufficiently reproduced by numerical simulation accounting for geometric and material nonlinearities and incorporating longitudinal residual stresses due to seam weld. The numerical analyses and corresponding results are also presented in detail.

## 71 **1. Introduction**

Onshore buried steel fuel pipelines extend over long distances and when seismic areas are 72 traversed, crossing tectonic faults might be inevitable. Fault offset is considered to be the major 73 74 cause of pipeline failure due to seismically induced actions [1]. Due to the hazardous nature of 75 pipelines, there is an ongoing effort to propose effective measures for their protection against the 76 consequences of faulting. Pertinent efforts focus on reducing the risk of local buckling of pipe wall and tensile fracture of girth welds, which are the two principal failure modes in such case. Various 77 78 mitigating measures have been implemented by the industry, such as pipe wall thickness increase, 79 steel grade upgrade and pipe wrapping with geotextiles in order to reduce pipe-soil friction [2], embedding the pipeline in soft soil, choosing appropriate angle of fault crossing, introducing bends 80 81 (e.g. elbows) at some distance from the fault zone to enhance flexibility, etc.

The present work is part of a feasibility study of a new mitigating measure, namely introducing flexible joints between adjacent pipe parts, following the ideas of Bekki et al. [3]. The aim is to concentrate the developing strains at the joints and retain the pipe steel parts virtually undeformed and consequently unstressed [4]. Flexible joints are used in industrial piping networks to absorb thermal expansion, thrust and machinery vibration.

87 Strength and deformation capacity of pipes has been experimentally investigated for over five decades. The mechanical behavior of buried pipes subjected to permanent ground 88 89 displacements (PGDs) is a complex pipe – soil interaction problem, given that the pipe is forced to 90 follow the PGDs by developing extensive deformation. Thus, when the surrounding soil is 91 incorporated in an experimental investigation, numerous constructional, cost and time consuming 92 issues emerge. The experimental investigation of pipes can therefore be roughly divided into two 93 main categories: (i) Pipes without surrounding soil. The tests are usually three- or four-point 94 bending tests with simple boundary conditions (e.g. cantilever, clamped beam, etc.) and simple or 95 combined external loading (e.g. bending, axial force, internal pressure). The major objective of 96 these experiments is the estimation of pipe bending capacity, pre- and post-buckling behavior and 97 critical compressive buckling strain. (ii) Pipes with surrounding soil, where the experimental set-up
98 is usually a shear-box or a centrifuge, used to assess the behavior of a pipe subjected to faulting,
99 soil liquefaction or settlement by considering the effect of various relevant parameters (e.g. soil
100 characteristics, pipe diameters and thickness, burial depth, etc.).

101 Literature on the topic of experimental investigation of pipes without surrounding soil is 102 broad. Experimental studies on the strength and deformation capacity of tubes and pipes have 103 been presented in [5], [6], [7], [8]. In the middle of the 1980's, Gresnigt [9] published the results of 104 an extensive experimental study of pipes in a prominent textbook, focusing on the plastic design of 105 pipes subjected to permanent ground displacements. Then, important experimental studies have 106 been also presented by Yoosef-Ghodsi et al. [10], Murray [11] and Gresnigt et al. [12], [13]. 107 Recently, Dame et al. [14] performed full-scale four-point bending tests of API5L Grade B pipes 108 with external diameter of 24in to study the structural behavior of pipes under bending and internal 109 pressure. Thinvongpituk et al. [15] experimentally investigated steel pipes with diameter over thickness (D / t) ratio ranging from 21.16 to 42.57 under pure bending to validate a proposed 110 analytical methodology for the estimation of pipe cross-section ovalization. Then, Gresnigt and 111 112 Karamanos [16] presented a study on previous experimental results, focusing on the elastoplastic 113 local buckling of pipes and the effect of the manufacturing process on the pipe ultimate capacity and local buckling. Mason et al. [17] were the first to perform tensile tests of full-scale API5L Grade 114 115 B pipes with welded slip joints (WSJ) to investigate the strength of joints. Chen et al. [18] 116 performed full-scale experiments of 40in diameter X70 pipes under bending, compression and 117 internal pressure to assess their strength. Later, Ferino et al. [19] carried out experiments on full-118 scale X80 pipes (D/t ratio from 50 to 65) to examine the critical buckling strain of high-strength 119 steel pipes. Recently, Kristoffersen et al. [20] presented experimental results from three-point 120 bending tests of in-scale offshore X65 pressurized pipelines under transverse and axial forces and 121 internal pressure to investigate the relationship between axial load, bending capacity and cross-122 sectional distortion. Experimental results have been used to formulate the provisions of pertinent codes and standards regarding the strength and deformation capacity of onshore and offshore
pipes, e.g. API [21], ASME [22], [23], CSA [24], [25], DNV [26].

125 Experimental tests of buried pipes with surrounding soil are guite limited in the literature. 126 Abdoun et al. [27] used a centrifuge to investigate in-scale HDPE pipes subjected to strike-slip 127 faulting focusing on the fault offset rate, the backfill soil moisture content, the burial depth and the 128 pipe diameter. A year later, Ha et al. [28] used the same centrifuge to experimental investigate HDPE pipes in order to compare the obtained results to those reported after the failure of a major 129 130 water pipeline in Izmir (Turkey), caused by the 1999 Kocaeli earthquake [29]. A major finding was 131 that the locations where local buckling occurred, acted as "flexible joints" in case of increasing fault 132 offset. Then, Rofooei et al. [30] utilized a shear box in order to rigorously model the response of an 133 API5L Grade B pipe with 4in diameter subjected to reverse faulting. The reverse faulting caused 134 inelastic pipe local buckling both in the fault footwall and hanging wall part. Moradi et al. [31] used 135 a centrifuge to investigate the behavior of stainless steel pipes under normal faulting, considering the relationship between axial and bending strains and the effects of burial depth and fault offset 136 137 magnitude. Very recently, in the final report of the RFCS project GIPIPE [32], results of small-scale experiments of pipes under faulting (normal of reverse) using a shear box were presented and 138 139 were used to calibrate numerical models. Additionally, in the same study, axial pulling tests were 140 performed in order to evaluate the developing pipe - soil friction and full-scale tests were executed, simulating the imposed ground displacement due to landslide or faulting. Experimentally 141 142 obtained pipe strains were compared to code-based predictions and the locations of strain 143 concentrations were investigated.

Experimental investigation on the efficiency of alternative mitigating measures against the consequences of faulting on pipelines is however quite limited until now. Hedge et al. [33] tested small diameter PVC pipes embedded in geocell reinforced sand beds in order to investigate the efficiency of geocells in terms of protecting buried pipelines. The experimental set-up consisted of a test tank filled with sand, where the pipeline was placed at the bottom, while force was applied 149 on the top soil surface through a hydraulic jack. Sim et al. [34] performed shaking table tests of 150 small diameter pipes crossing a vertical fault to investigate the performance of tyre derived 151 aggregate (TDA) backfill in terms of protecting buried pipelines against vertical faulting and 152 shaking. The obtained experimental results showed that TDA backfill contributes to pipe bending 153 moment reduction. Monroy-Concha [35] carried out tests of pulling pipes embedded in sand 154 backfill so as to examine the effect of covering trench's walls with geotextiles on the buried pipe protection. Finally, experimental investigation of flexible joints as individual components, i.e. 155 156 without considering them as part of a piping network, have been primarily conducted to determine 157 the mechanical properties of the joint [36], [37].

Seismic fault activation is associated to PGDs and thus the problem under investigation is displacement-controlled and consequently strain-controlled rather than stress-controlled. Extensive yielding is expected to take place due to faulting, while the corresponding strains might remain below a limit that is associated to failure, i.e. concentration of tensile strains is associated with tensile rupture at girth welds, while compressive strains with local buckling of the pipeline wall. Pertinent structural codes for the design of buried pipes at fault crossings provide strain-limit expressions for both compressive and tensile strains (e.g. [38],[39]).

165 The objective of the experimental investigation presented here was to study the efficiency of flexible joints integrated in tubes under transverse imposed displacement, modeling fault 166 movement, in terms of reducing longitudinal strains and consequently preventing tube failure. 167 168 Unpressurized continuous tubes and a tube with internal flexible joints were tested and the 169 obtained results were compared to identify the repercussions of joints in the overall tube response, 170 while special focus was paid on comparing the developing strains in light of the pipeline strain-171 based design rules. Then, the experimental results were compared to corresponding numerical ones, obtained from nonlinear analyses of finite element models. Details of both the tests and their 172 173 numerical modeling are presented in the following sections.

174 It must be noted that this application of flexible joints has not been so far used in practice. In 175 the present study some aspects of the joints' efficiency in protecting buried pipes from fault 176 activation are investigated. However, considerable constructional and practical issues have to be 177 tackled in addition, before practical application can actually be implemented, which are beyond the 178 scope of this paper. Such issues include bellow protection against corrosion, pipe – bellow proper 179 welding, bellow isolation from the surrounding soil and bellow long-term behavior, response of 180 buried pipelines under very high pressure or being surrounded with low friction soil, etc.

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## 182 2. Description of experiments and experimental set-up

183 2.1 Specimens

184 A total number of four tubes have been tested at the Institute of Steel Structures in the School 185 of Civil Engineering of the National Technical University of Athens. Fixed end conditions were selected at both specimens' ends aiming at proper modeling of the pipeline deformation due to 186 faulting. Namely, the deformation of a buried pipeline subjected to strike-slip fault rupture is a 187 188 smooth s-shaped curved line (Figure 1), where two anchor points represent the pipeline locations beyond which the structure is assumed to be unstressed. In the experimental set-up, the tubes 189 190 were fixed at the ends, while the displacement was imposed in the middle-span. Thus, the 191 deformation of each half of the specimen was expected to model the s-shaped deformation of a 192 pipe (Figure 2), considering the fixed ends and the middle-span location as virtual anchor points.



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- 194
- Figure 1: Schematic illustration of pipeline deformation subjected to strike-slip fault offset



# 196

## Figure 2: Schematic illustration of the experimental concept

Three continuous specimens were tested (N=1, N=2 and N=3), abbreviated as CP and one 197 198 specimen with flexible joints (N=4), abbreviated as PFJ. Indicative sketches of the continuous 199 specimens and the specimen with flexible joints are provided in Figure 3. The tubes were of cross-200 section CHS 114.3x3, selected on the basis of the geometrical restrictions of the testing frame, in 201 order to have a realistic length over diameter ratio for each s-shaped deformed configuration. The length of the specimens was defined by the available length of the testing frame, and the fixed end 202 conditions impose the locations of virtual anchor points, while in practice the location of the anchor 203 204 points depends on the pipe – soil friction [32]. Additionally, the diameter over thickness ratio (D/t)205 of the CHS 114.3x3 cross-section is equal to 38.1, which was considered to be relatively low and 206 in combination with the imposed displacement magnitude no local buckling was expected to occur 207 in the elastic range.



#### Figure 3: Specimen types: (a) CP and (b) PFJ

213 The structural system of the specimens was that of a beam with fixed ends, subjected to 214 imposed displacement in the middle. The maximum bending moment was thus expected at the 215 fixed ends and the middle. The introduction of flexible joints aimed at reducing the developing 216 strains and thus their location was selected as close as possible to the maximum moments' 217 locations, based on preliminary numerical analysis results and the restrictions of the measuring 218 instruments. For the sake of completeness and with reference to buried pipes, it is noted that the 219 uncertainty regarding the exact location of the fault trace has not been addressed by the testing 220 process. This assumption does not affect the research objective of this study, which is to gain confidence regarding the effectiveness of bellow-type flexible joints in terms of reducing the pipe 221 222 developing strains. The issue of uncertainty of fault trace and its effect on joint efficiency has been 223 treated by the authors numerically, employing the numerical models validated by the presented 224 experiments [40].

225 The maximum imposed displacement by the actuator was equal to one specimen diameter, 226 i.e. about 115 mm, which was shown from preliminary numerical results to cause yielding of 227 continuous specimens and was then chosen as the same for the specimen with flexible joints for 228 reasons of comparison, considering also practical limitations due to the experimental set-up. 229 Even though in actual cases of fault rupture the displacements may well exceed one pipeline 230 diameter, numerical investigations of the authors [40] including rupture amplitudes up to four 231 pipeline diameters have demonstrated that pipe parts remain elastic and imposed deformations 232 are absorbed by rotations at the joints, which are within the elastic range of commercially available 233 bellows. Regarding the latter issue, the behavior of bellows and the evaluation of their risk for rupture has been addressed by the axial and rotational tests of individual bellows (section 4), 234 235 which were tested up to failure, exhibiting their capacity to sustain much larger deformations than 236 encountered in the specimen with flexible joints subjected to displacement of one diameter.

237 2.2 Testing frame

238 The experimental set-up was the same for all four specimens. Indicatively, the PFJ specimen positioned in the testing frame is depicted in Figure 4. The specimens were connected to 30 mm 239 thick endplates with tube socket joint fillet welds. Then, endplates were bolted to the testing frame 240 241 with eight M20 8.8 bolts. The design of the specimen - testing frame connection was found to be 242 sufficient for the expected magnitude and deflection of the connection to prevent yielding and to 243 ensure that the connection would be sufficiently rigid. The specimen installation in the testing frame was carried out in two steps: (i) the bolts on one side were pretensioned, (ii) on the other 244 245 side shim plates were inserted between the endplate and the frame column flange to fill any 246 potential gap, and then the bolts were pretensioned. Developing strains on the specimen during bolt pretensioning were measured by strain gauges and the recorded strains were found to be very 247 248 low compared to those recorded during the experiments, thus they were not considered thereafter. 249 The displacement was imposed through a flange (referred thereinafter as loading flange) that was 250 connected to the actuator via a wire rope. The loading flange was designed to be sufficiently thick (40 mm) to ensure uniform load application on the specimen and consequently avoid any 251 252 undesirable local failure of the tube. Hence, the structural system of the PFJ specimen was that of 253 a beam with fixed ends and four internal flexible hinges. Thus, temporary support was necessary 254 before the test to avoid sagging.





Figure 4: View of PFJ specimen at the testing frame

257 2.3 Testing procedure and measuring devices

The tests were performed using a 300 kN hydraulic actuator of maximum pressure equal to 125 bar, operating in displacement control. The rate of the imposed displacement was in all cases equal to 0.032 mm/s. The reaction force was measured by a load-cell mounted at the actuator's head. The measuring devices' configuration was nominally identical in all specimens and it is indicatively illustrated in Figure 5 for specimen N=4.



267 (Figure 6), in order to identify the differences between the CP and PFJ deformation. Two additional

LVDTs were installed on the loading flange (ACTUATOR) to monitor the true specimen displacement, since the displacement recorded by the load-cell could be affected by the electromagnetic noise of the actuator operation, the wire rope expansion and other relevant parameters.

272 Furthermore, a 2D Deformation Plotter (DP) was designed and constructed in the Institute of 273 Steel Structures NTUA in order to plot the specimen's deformed shape (Figure 8). The main 274 transducers of DP were a LVDT monitoring the vertical displacement and a wire-type displacement 275 transducer, monitoring the longitudinal coordinate. Thus, DP was capable of scanning the 276 specimen's deformation, i.e. monitoring simultaneously the vertical and the longitudinal coordinate at predefined time steps. The LVDT was attached to the movable part of the system, namely the 277 278 linear table, which was sliding along an aluminum linear guide. Motion of the system was provided 279 by an electric stepper motion and was transmitted via a timing belt. Then, in order to provide uninterrupted sliding of the LVDT's rod on the specimen's surface, an appropriately constructed 280 roller system was mounted on the LVDT's rod edge. The system (DP) was assembled on a thick 281 282 aluminum base, which was installed on supporters at a sufficient distance above the specimen, 283 determined by the maximum LVDT stroke and the maximum expected vertical displacement of the 284 specimen. The system was controlled by an in-house built computer-driver, controlling the micro-285 steps of the stepper motor rotation (each full rotation of the motor consisted of 200 steps and every 286 step of 128 micro-steps), the velocity and the acceleration. Two DPs were constructed with maximum longitudinal plotting length capacity equal to 920 mm (DPA) and 1920 mm (DPB), 287 288 respectively, and they were installed at the two sides of each specimen, left and right of the 289 loading flange.



Strains were measured with strain gauges (nominal resistance 120  $\Omega$ ) that were placed at locations detailed in Figure 5 to measure the longitudinal tensile and compressive strains. The locations of strain gauges (SGs) were selected based on the maximum expected stress-state (Figure 8), which entered into plasticity. Special care was given for the correct placement of the strain gauges by polishing the desirable locations in order to ensure a satisfactory contact between the strain gauge and the specimen surface.



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Figure 8: Strain gauge placed on tube crown

## 302 **3. Steel properties**

303 Tensile tests were carried out to extract the material properties of the steel used for 304 manufacturing of the specimens. Appropriately design coupons cut from specimens during their 305 construction were subjected to displacement-controlled tests. The geometry of the coupons and 306 the testing procedure were based on the guidance provided by EN ISO 6892-1:2009 [41]. The tensile test results were provided in terms of the applied load and the corresponding displacement 307 of the coupon's edges, from which the engineering stress ( $\sigma_e$ ) and engineering strain ( $\varepsilon_e$ ) could be 308 309 calculated based on the coupons cross-section area. Then, in order to take into account the 310 change of coupon's width during the loading process, the true stress ( $\sigma_t$ ) and true strain ( $\varepsilon_t$ ) were calculated according to the expressions: 311

$$312 \qquad \sigma_t = \sigma_e(1 + \varepsilon_e) \tag{1}$$

313 
$$\varepsilon_t = \ln(1 + \varepsilon_e)$$
 (2)

A view of a typical coupon at its final shape before testing is illustrated in Figure 9 and during testing in Figure 10. From each specimen (N=1 to N=4) three coupons where cut, named for example N=1.1 to N=1.3 for specimen N=1. An INSTRON 300 kN tensile testing machine was used and the elongation of the tensile test coupon was measured by an extensiometer mounted on the coupons over a gauge length of 50 mm.

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Figure 9: Typical tensile coupon



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## Figure 10: Coupon during tensile test

The average modulus of elasticity for all specimens was found equal to 210 GPa, which is in accordance with the value provided in pertinent structural textbooks for steel. The yield stress for each coupon was taken as the 0.2% proof stress found in the plateau following the elastic branch. Typical true stress – strain curves obtained for tensile specimen N=2 are given in Figure 11a and a detail of the true stress – strain curves in Figure 11b to show the plateau and the strain hardening initiation. The mean true yield stresses for each specimen are listed in Table 2.

320 321



332 Figure 11: (a) True stress – strain curves and (b) detail of the true stress – strain curves for steel of

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# specimen N=2

Table 2: Mean yield stresses of specimens

	N=1	N=2	N=3	N=4
Yield stress (MPa)	355	354	344	345

# 335 4. Flexible joint properties

The flexible joints used in the present study were commercial metallic single bellows. The joint and its geometry are depicted in Figure 12. The material of the convolutions was stainless steel AISI 321L, while the pipe edges were made of carbon steel ST 37-2 to ensure proper connection through full-penetration butt welds with the carbon steel segments of specimen N=4.



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Figure 12: Flexible joint used in the experimental investigation

The bellow is designed to withstand pressure thrust, internal pressure and variations in the fluid temperature. The single bellow can accommodate elongation and shortening, lateral movement and rotation (Figure 13). The flexible joint type was selected based on its availability in the market and in light that the internal pressure was not considered in the investigation.



346 Figure 13: Definition of flexible joint's (a) axial, (b) lateral and (c) angular movement capability 347 The purpose of the presented experimental investigation was to quantify the contribution of flexible joints in strain reduction when integrated in pipes subjected to imposed displacement. It 348 349 was thus necessary to measure their axial, lateral and angular stiffness. For that purpose, two 350 individual experiments were performed to investigate the axial and the angular stiffness of the joint, 351 respectively. It is noted that due to the inherent difficulty to experimentally decouple shear and bending, an individual experimental for measuring lateral stiffness was not carried out. This lack of 352 353 data was decided to be handled using joint properties published on data sheets by joints 354 manufactures. Commercial joint specifications indicate that for similar low pressure single joints, 355 the ratio of axial over lateral stiffness can range from 0.25 to 0.75.

356 Firstly, an experiment was conducted to investigate the axial stiffness of the joint. The experimental set-up and the measuring devices are shown in Figure 14. The joint was welded 357 between two CHS 114.3x3 segments, while two flanges were welded at the edges. On the top 358 359 flange a wire rope was attached through a hinge formulation and properly connected to the actuator head. The test was performed with the use of a 300kN hydraulic actuator operating in 360 displacement control. The rate control of the imposed displacement was equal to 0.032 mm/s and 361 the reaction load was measured by a load-cell attached to the actuator. Four vertical LVDTs 362 363 (V.LVDT) were installed to record the joint's extension, while two horizontal LVDTs (H.LVDT) were placed horizontally to measure any deflection of the specimen from verticality. The number of 364 LVDTs was selected in order to increase the accuracy of the measurements and to provide 365 sufficient amount of experimental data in order to exclude any out-of-plane movement. 366

Additionally, two SGs were mounted at the bottom of the specimen to record any extension of the support segment, to verify that the extension was absorbed by the joint. It is noted that preliminary numerical results of the PFJ experiment disclosed that joint's axial movement would be tensile. Thus, a tensile test was decided to be performed, rather than a compressive one.



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Figure 14: Joint tensile test experimental set-up and measuring devices

373 The experimental results are presented in terms of the equilibrium paths in Figure 15, where 374 on the vertical axis the load monitored by the actuator's load-cell is presented and on the 375 horizontal axis the average displacement of the four V.LVDTs. The experimental path includes also the unloading path that was not considered in processing the results. The joint behavior in 376 377 tension was nearly linear until the displacement reached the value of about 72.3 mm, where the 378 joint failed through local deformations of the convolutions (Figure 16). It is noted that local deformations were observed to develop in a quite symmetrical manner around the circumference 379 of the joint in angles of 120 degrees. The joint's convolutions are mechanically created in a joint-380 381 forming machine through expansion of a tube. Thus, when the joint was tensioned, the 382 convolutions were subjected to flattening that caused local deformations to form. H.LVDTs 383 provided measurements of maximum displacement equal to 3 mm, indicating that the deviation 384 from verticality was insignificant. The maximum tensile strain was equal to 72 µstrain, which was 385 adequately low to assume that the imposed extension was totally absorbed by the joint.



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Figure 15: Experimental equilibrium path of joint tensile test



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Figure 16: Joint failure in expansion through local deformations of the convolutions

390 A second test was performed to measure the joint angular stiffness. The experimental set-up 391 and the measuring devices of the joint bending test are illustrated in Figure 17. The joint was welded between two CHS 114.3x3 segments; one edge was free and the other was welded to a 392 thick steel plate, which was properly connected to a rigid base on the testing frame. The loading 393 394 flange was used for this experiment and was connected to the actuator head via a wire rope 395 through a hinge formulation, ensuring that no axial force could be imposed to the specimen and at the same time the imposed displacement would be always perpendicular to the joint undeformed 396 397 axis. The test was performed using the laboratory's hydraulic actuator, operating in displacement 398 control with rate equal to 0.032 mm/s. Two vertical LVDTs were attached through hinges on the 399 loading flange to measure the vertical displacement. Two strain gauges were mounted at the top 400 and bottom of the segment close to the support flange to monitor any potential bending of the 401 supporting tube, to identify whether the imposed angular movement is fully absorbed by the joint.



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Figure 17: Joint bending test experimental set-up

404 The experimental results are presented in terms of the equilibrium path in Figure 18a, where 405 the load monitored by the actuator's load-cell is presented on the vertical axis and the average 406 displacement of the two vertical LVDTs on the horizontal axis. The joint behavior in bending is highly nonlinear. When the vertical displacement reached the value 118 mm, three convolutions 407 408 got into contact and the experiment was terminated in order to protect the testing equipment and 409 the experimental set-up from being damaged. Thus, after this point, the experimental equilibrium 410 path in terms of load - displacement exhibits an unloading branch. At this point the joint had 411 reached a rotation angle of over 20 degrees (Figure 19), much higher than the rotation of the joints 412 at the test of the tube with joints, which was measured equal to 7.85 degrees. Using the geometry 413 of the joint rotation, the force – displacement path was converted to moment – angle terms (Figure 414 18b). Finally, the maximum tensile strain was equal to 425 µstrain and the maximum compressive 415 strain was 457 µstrain, indicating on the one hand that negligible axial force was imposed and on 416 the other that strain values were sufficiently low to assume that the angular movement of the 417 specimen was undertaken by the joint.



420 Figure 18: Experimental equilibrium path of joint bending test in terms of (a) force – displacement

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and (b) moment - angle



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Figure 19: Nominal joint failure in bending

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# 425 **5. Description of numerical models and analyses**

The general purpose finite element software ADINA [42] was employed for the numerical analyses. Different modeling techniques were used for the CP specimens and the PFJ specimen, based on the experimental results in terms of the developing stress-state, as will be shown later. The CP specimen was modeled both with 2-node Hermitian beam elements (FEM-beam) and with 4-node shell elements (FEM-shell), in order to identify the appropriate element. View of a CP specimen placed in the testing frame and the corresponding numerical models are shown in Figure 20. It is noted that the loading flange was not modeled, as preliminary analysis results revealed 433 that modeling the external loading through a node connected to all nodes at the middle section of 434 the specimen via rigid links was sufficient. The connection of the specimen to the column flange of 435 the testing frame was represented either as rigid or through modeling of the bolted connection. 436 The details of the connection modeling are illustrated in Figure 21. The column flange of the 437 testing frame was meshed with shell elements and considered to be fixed. The endplate and the 438 nuts were also meshed with shell elements. The bolts were meshed with bolt elements, which are 439 beam-type finite elements, capable of being subjected to pretension, while they were considered to be fixed on the testing frame. Appropriate contact elements were introduced to model the contact 440 pairs of nuts - endplate and endplate - column flange. 441



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Figure 20: CP specimen at the testing frame and corresponding numerical models



445 Figure 21: Modeling details of the specimen – testing frame bolted connection The tube specimens used for the tests had been manufactured through cold-bending of 446 steel sheets and were then seam welded. Due to this process residual stresses develop over the 447 448 cross-section and along the steel member, respectively. Residual stresses are divided into: (i) 449 circumferential stresses due to cold-bending, having nonlinear distribution through the thickness [43]. The thickness of the tube specimens was equal to 3 mm and considered to be sufficiently low 450 451 so that the effect of the circumferential residual stresses could be assumed as insignificant. (ii) 452 Longitudinal stresses due to the metallurgical alterations induced within the heat-affected zone 453 during the seam welding procedure. Residual stresses in the tested tubes were not measured. 454 Ross and Chen [43] carried out experimental tests and measured the longitudinal stresses due to 455 the welding, while Gao et al. [44], presented a simplified distribution of the residual stresses 456 distribution (Figure 22). These residual stresses were incorporated in the numerical models to 457 qualitatively evaluate their influence. In the FEM-shell numerical approach for the CP specimens, the longitudinal residual stresses were incorporated as initial longitudinal strains, according to the 458 459 material stress - strain relationship. Their modeling relied on discretizing the specimen shell into 460 zones consisting of different element groups. Then, every element group was assigned 461 appropriate initial strains (Figure 22). The location of the seam-weld on the cross-section defines the distribution of the residual stress. As the seam-weld of the CP specimens tested within the present study was not at the same circumferential location (Figure 23), the effect of residual stresses was different for every specimen, as will be shown later. The different element groups are illustrated in Figure 24 with different color, indicatively for specimen N=3.



466

467 Figure 22: Longitudinal residual stresses on circular hollow section due to seam-weld [44]



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469 Figure 23: Seam-weld location on the cross-sectional circumference of CP specimens



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Figure 24: Modeling of longitudinal residual stresses through different element groups The PFJ specimen was meshed into 2-node Hermitian beam-type finite elements, considering that the experimental results revealed that the specimen's behavior was entirely elastic. Flexible joints were represented by three nonlinear springs, i.e. a rotational spring to model the rotation and two translational ones to model the axial and lateral deformations [45]. Axial and 476 angular springs' properties were obtained from the tension and bending joint tests, respectively, 477 while the lateral spring was estimated through data sheets of joints manufacturers, as stated in 478 section 4. This modeling technique for the bellow allowed also to indirectly incorporate the effect of 479 residual stresses of bellows. The connections of the PFJ specimen to the testing frame were 480 assumed to be rigid. View of the PFJ specimen placed in the testing frame and the corresponding 481 numerical model are shown in Figure 25.



482

483 Figure 25: PFJ specimen at the testing frame and corresponding numerical model 484 A uniform and sufficiently dense meshing was used in all numerical models, according to the results of corresponding mesh density sensitivity analyses. The analysis was conducted in all 485 486 cases in three steps: initial conditions (if applicable) were applied first, then the specimen selfweight was applied and finally, displacement was imposed. Initial conditions were different in every 487 488 modeling approach. In case of detailed modeling of the specimen – testing connection, pretension 489 of the bolts was applied in order to close any gaps between nuts - endplate and endplate column flange, while in case residual stresses were considered, analysis was carried out to apply 490

491 the initial stresses. Finally, the strategy proposed by Gantes and Fragkopoulos [46] for the 492 numerical verification of steel structures was used in the present study. The numerical results were obtained from Geometrically and Materially Nonlinear Analyses (GMNA), in order to account for 493 494 both large displacements and material yielding, using the Newton - Raphson solution algorithm 495 and the automatic time-stepping method (ATS). ATS is used to try to obtain a converged solution 496 by using a reduced load step during equilibrium iterations when the predetermined load steps are possibly too large. The implementation of numerical nonlinear analysis considered the practical 497 498 aspects of FEM presented in [47]. It is also noted that local geometrical imperfections were not 499 considered in the analysis, as preliminary results revealed that their effects were practically insignificant. 500

#### 501 6. Experimental and numerical results

In this section the experimental results of continuous specimens and the specimen with flexible joints are presented and compared. Additionally, numerical results obtained from GMNAs separately for CP and PFJ specimens are presented in terms of the equilibrium paths of load – displacement, load – strain, stress and strain distributions and deformed shapes, to provide a general overview of the structural behavior.

507 6.1 Experimental results

508 6.1.1 Continuous specimens

The CP specimen deformation took place within the vertical plane defined by the specimen longitudinal axis and the imposed displacement axis. The experimental load – displacement equilibrium paths for the CP specimens are illustrated in Figure 26, where the load monitored by the actuator's load-cell is presented on the vertical axis and the average displacement obtained from the two LVDTs located on the loading flange (Figure 5) is presented on the horizontal axis. The primary observation is that the overall CP specimen behavior is nonlinear. A turning point at displacement equal to about 60 mm is detected in the equilibrium path, indicating yielding of the end cross-sections. A good match is also shown between the three specimens, indicating goodrepeatability of the experiment.



518

519 Figure 26: Load – displacement experimental equilibrium paths of CP specimens 520 Further comprehension of the CP specimen's behavior can be provided by comparing the 521 experimental equilibrium paths to a simplified analytical one, considering concentrated plastic 522 hinge formulation. The specimen steel stress – strain relationship is considered as elastic – plastic 523 without hardening. The equivalent analytical static model in the elastic range is that of a beam with fixed ends subjected to concentrating loading P in the middle-span. In such case the maximum 524 525 moment is developed at the fixed ends and at the middle, where the loading is applied. After the 526 formation of the plastic hinges, it is assumed that additional imposed displacements are resisted 527 through developing tension. The analytical load - displacement equilibrium path is compared to 528 the experimental ones in Figure 27, where the reaction load is presented on the vertical axis and 529 the middle-span deflection on the horizontal axis. A sufficient match is shown regarding the elastic 530 and the post-yielding tube behavior, apart from the transition area, where premature yielding of the specimens is evident. 531



Figure 27: Experimental and analytical equilibrium paths of CP specimens 533 534 Furthermore, yielding of the end cross-sections was verified via the strains recorded by the 535 strain gauges. Specifically, the tensile strains from SG-A1 and SG-B2 are presented in Figure 28a 536 and Figure 28b, respectively. It is observed that strain measurements from CP specimens were in 537 practice identical within the elastic range of the tube behavior until yielding took place for 538 displacement equal to around 60 mm. Then, a turning point in the strain – displacement curves was detected and thereafter minor differences were reported on the tensile and the compressive 539 540 strains. The strain variations after yielding were attributed to the sensitivity of the strain gauges in 541 the post-yielding area in combination with the redistribution of strains within the cross-section due 542 to the gradual formation of the plastic hinge.



Figure 28: Strains of CP specimens: (a) tensile from SG-A1 and (b) compressive from SG-B2
6.1.2 Specimen with flexible joints

547 The experimental load – displacement equilibrium path of PFJ specimen is depicted in 548 Figure 29, where the load monitored by the actuator's load-cell is presented on the vertical axis and the average displacement obtained from the two LVDTs located on the loading flange (Figure 549 550 5) is presented on the horizontal axis. The major observation is that load values were almost two 551 orders of magnitude smaller than for the CP specimens and that there was not a clearly visible 552 equilibrium path, but instead a cloud of measurements was recorded due to the sensitivity of the load-cell that was not fully capable of monitoring such low load values. Additionally, load 553 554 measurements from the onset of the test were above zero, as the actuator was loaded 555 approximately with half of the specimens' self-weight, due to the inability of the joints to provide appreciable flexural resistance. Then, similarly to the load – displacement cloud, the tensile strain 556 557 cloud recorded from SG-A1 (Figure 5) and the compressive strain cloud from SG-B2 (Figure 5) are 558 shown in Figure 30a and Figure 30b, respectively. The strain equilibrium paths are ascending, 559 indicating the increase of the developing stress-state with reference to the displacement. Most importantly, strains are three orders of magnitude smaller than for the CP specimens, confirming 560 561 the efficiency of flexible joints in protecting the tube from strain-related failure modes, such as local buckling and tensile fracture, as outlined in more detail in the following section. It is noted that 562 563 experimentally obtained forces and strains of PFJ specimen were in practice negligibly small and actual values did not matter. Finally, it has to be noted that the developed deformations of the 564 565 bellows at the end of the experiment were sufficiently lower than the ultimate values estimated 566 from the individual experiments of the bellows. In practical applications of bellows in buried pipes, 567 bellows with sufficient deformation capacity must be specified, so that they can elastically absorb 568 the anticipated deformations in case of fault activation.





570

Figure 29: Load – displacement experimental equilibrium paths of PFJ specimen



573 Figure 30: Strains of PFJ specimen: (a) tensile from SG-A1 and (b) compressive from SG-B2

574 6.1.3 Comparison of experimental results

575 The comparison of CP and PFJ specimens' results is crucial to identify and quantify the 576 effect of flexible joints in terms of strain reduction considering that the pipeline design against 577 faulting is strain-based. Results presented in sections 6.1.1 and 6.1.2 for CP and PFJ specimens, 578 respectively, indicate that the introduction of joints has led to a significant decrease of load and 579 developing tensile and compressive strains. Thus, the primary research objective of the present experimental study has been highlighted, namely, the considerable contribution of flexible joints in 580 strain reduction in the pipe parts of the tested specimen has been confirmed. This provides 581 582 optimistic indications that flexible joints have the potential to be an effective mitigating measure for the protection of buried pipelines subjected to faulting, provided that the issues identified in section 583

584 1 have been addressed and resolved. It is noted that the significant differences regarding strain 585 and force development in CP and PFJ specimens that was reported state that the graphical comparison of results through load – displacement and strain – displacement curves could not be 586 viable. Therefore, a tabular comparison is presented by listing the maximum developed load and 587 588 strains in Table 3, where strain gauge numbering refers to Figure 5. It is observed that PFJ load and strains were two and three orders of magnitude lower, respectively, compared to CP 589 specimens. The significant differences regarding the maximum values of strains obtained from the 590 three-CP specimens are due to the local redistribution of strains caused by cross-section yielding, 591 592 so that maximum strain values do not, in general, occur at the locations of strain gauges.

593 -

Table 3: Comparison of CP and PFJ experimental results in terms of maximum load and strains

		max	max		max
specimen	load (kN)	compressive	tensile	max tensile strain SG-	compressive
		(%)	A1 (%)	A4 (%)	(%)
N=1 (CP)	24.61	-1.25	2.24	1.91	-1.08
N=2 (CP)	23.56	-0.79	1.81	1.42	-0.51
N=3 (CP)	23.79	-0.37	1.63	1.55	-0.89
N=4 (PFJ)	0.30	-0.0016	0.0017	0.0015	-0.0017

594

595 6.2 Experimental and numerical results

The experimental results are compared with numerical ones separately for CP and PFJ specimens in this section. CP results showed that yielding took place at the critical cross-sections, namely the fixed ends and the middle. On the other hand, experimental PFJ results revealed that its behavior was fully elastic.

600 6.2.1 Continuous specimens

601 The experimental equilibrium paths are compared to the numerical ones. Initially, two 602 investigations were carried out, namely specimen meshing with either beam- or shell-type finite 603 elements and boundary conditions modeled either as rigid or as semi-rigid, by detailed modeling of 604 the bolted connection, in order to confirm that the connection is sufficiently stiff and does not affect 605 the specimen's response. The comparison of equilibrium paths regarding the type of finite 606 elements by considering rigid boundary conditions is shown in Figure 31, where the experimental paths of CP specimens (test) are examined in contrast with the numerical paths (FEM-beam and 607 608 FEM-shell). The vertical displacement of the loading flange is presented on the horizontal axis and 609 the load on the vertical one. The FEM modeling approach appeared to have a small effect on the 610 post-yielding branch, while the elastic branches practically coincide.







Secondly, the effect of boundary conditions was addressed and the equilibrium paths are illustrated in Figure 32, where the CP experimental results (test) are compared to the numerical ones employing shell elements and considering either rigid end conditions (FEM-fixed) or connection modeling (FEM-connection). The major finding was that the boundary conditions did not modify the response of the numerical models, as the corresponding paths practically coincide. Therefore, modeling the boundary conditions as rigid was proven to be sufficient.



Figure 32: Experimental and numerical equilibrium paths of CP specimens considering the
 numerical boundary conditions

However, numerically obtained equilibrium paths demonstrated that the model was not fully 623 capable of capturing the gradual and premature yielding exhibited by the experimental specimens. 624 In order to investigate whether this can be attributed to the presence of longitudinal residual 625 626 stresses caused by the seam-weld, such stresses were incorporated in the model, through the 627 process described in section 5, in order to account for the material alternations caused by the welding in the heat affected zone. The location of the seam weld on the tube circumference was 628 629 different in every specimen (Figure 23). Experimental (test) and numerical equilibrium paths in 630 terms of load – displacement (FEM-residual) are presented in Figure 33, while for comparison reasons the numerical equilibrium path without considering residual stresses (FEM-no-residual) is 631 632 also depicted.



Figure 33: Comparison of experimental and numerical load – displacement equilibrium paths of CP
 specimens by considering longitudinal residual stresses

637 It can be seen that the incorporation of residual stresses did not substantially improve the 638 agreement between the numerical and the experimental results in all specimens, due to the 639 different location of the seam weld with respect to the neutral axis. As shown in Figure 22, steel is 640 subjected to tension in the vicinity of the weld due to the heat treatment of the material caused by the welding procedure. Then, along the circumference of the cross-section the subsequent area is 641 compressed to balance the above tension. Similarly, the final narrow affected zones are tensioned. 642 643 This sequence of residual tension and compression around the seam-weld heavily affects the 644 material behavior, while it expands over half of the cross-section. Based on the aforementioned 645 "analysis", the results of Figure 33 can be evaluated. The seam weld in specimen N=1 was located in the region of the cross-section neutral axis and therefore the weld heat affected zone did not 646 extend over the cross-section areas where maximum tension and compression developed at the 647 648 critical cross-sections. Hence, considering the longitudinal residual stress did not significantly 649 improve the numerical results. Then, in specimen N=2, the seam weld was located near the area, 650 where the maximum tension was developed at the critical sections (specimen - endplate connection). Therefore, the effect of residual tension and compression was more significant than in 651 652 specimen N=1, which was verified by the fact that the "FEM-residual" model captured the tube yielding more accurately than the "FEM-no-residual" one. Finally, in specimen N=3 the match 653 654 between the numerical and the experimental results was concluded to be significantly good due to the location of the seam weld near the maximum developed compression at the critical cross-655 656 sections. In practice, the area where compression developed due to external loading was already 657 compressed by the residual stresses and consequently yielding took place for lower displacement level than without accounting for residual stresses. Remaining differences between experimental 658 and numerical equilibrium paths were attributed to small deviations of specimens from 659 660 straightness.

661

The numerical predictions of the specimens' deformation at various levels of imposed displacement are presented in Figure 34 indicatively for specimen N=1, where the longitudinal specimen axis is presented on the horizontal axis and the specimen vertical displacement, as defined by the external loading direction, on the vertical axis. The numerically obtained specimen's deformation is abbreviated as FEM, the LVDT measurements as test-LVDT and the one recorded by the deformation plotter as test-DP. Similar results were extracted for specimens N=2 and N=3.



Figure 34: Experimental and numerical deformation of CP specimen N=1 at various levels of
 imposed displacement

Finally, numerical strain predictions are presented in Figure 35 in terms of strain -671 displacement curves of CP specimens, where the tensile (Figure 35a) and the compressive 672 (Figure 35b) strains of the critical cross-sections (boundary sections where the maximum stress-673 state is developed) are presented on the vertical axis, while the vertical displacement of the 674 675 specimen's middle on the horizontal axis. The comparison of numerically and experimentally 676 obtained strains revealed that there was a sufficiently good match in the elastic range. However, 677 numerical models showed steel yielding taking place for higher displacement than experimental 678 results and consequently numerically extracted strains were higher than the experimental ones, 679 which was attributed to the sensitivity of strain measurement in the plastic range. Finally, the elastic and plastic regions, as predicted numerically, are shown in Figure 36. The fact that the 680 681 tensile plastic zones are more extended than the compressive ones indicates that the specimen 682 develops a tensile axial force after formation of plastic hinges.

668



Figure 35: Experimental and numerical strain – displacement curves of CP specimens: (a) tensile
 strains and (b) compressive strains at critical sections (maximum developed stress-state)



690

689

Figure 36: Elastic and plastic regions of failure of CP specimen N=1

692 6.2.1 Specimen with flexible joints

The specimen with flexible joints was modeled with beam-type finite elements, as presented in section 5 and the boundary conditions were assumed to be rigid. This modeling approach was selected based on the corresponding experimental results, which showed that the developed stress-state was very low, compared to CP specimens and at the same time experimental 697 recordings were within the range of sensitivity of the measuring devices. The experimental 698 equilibrium path is compared to the numerical one in Figure 37a, where the displacement of the 699 loading flange is presented on the horizontal axis and the load on the vertical axis. The numerical 700 path was shown to exhibit different stiffening behavior, indicating cable-type of action, due to the 701 negligible flexural stiffness of the tube with internal flexible joints. The specimen self-weight was 702 considered in the numerical analysis and thus the load – displacement path's onset was equal to about half the self-weight, while due to the limiting sensitivity of the load-cell for such low 703 704 recordings, the onset of the experimental path is quite lower. However, considering the 705 aforementioned limitations of the experimental monitoring process, the numerical model prediction of the specimen behavior is satisfactory. It is noted that regarding the joint lateral stiffness, where 706 707 no experiment was carried out, parametric analyses conducted with reference to the axial stiffness 708 obtained from manufacturers' data revealed that its role to the specimen's behavior was very 709 limited. It was thus decided to adopt an axial over lateral joint stiffness ratio equal to 0.50. Finally, experimental and numerical specimen's deformed shape at various levels of the imposed 710 711 displacement by the actuator is illustrated in Figure 37b, where the vertical specimen displacement 712 (in-line with loading application) is plotted on the vertical axis, while the specimen's longitudinal 713 axis is plotted on the horizontal axis. The numerically obtained deformed shape is presented as 714 FEM, while the experimental results are represented by the measurements of the individual LVDTs 715 (test-LVDT) and the Deformation Plotters (test-DP). The major finding is that results showed a 716 comprehensive match and the assumed numerical approach is sufficient to model the behavior of 717 the specimen with flexible joints. This numerical modeling approach was adopted in [40] to conduct 718 extensive parametric studies in order to investigate the parameters affecting the behavior of buried 719 pipes with flexible joints, such as pipe - fault crossing angle, burial depth and fault trace 720 uncertainty, proposing also rules for joint placement along the pipeline.



## 737 6. Summary and conclusions

738 The results of experimental tests and corresponding numerical simulations of continuous tubes and a tube with flexible joints under imposed transverse displacement, modeling the 739 740 deformation of a pipe subjected to strike-slip fault rupture, have been presented. The purpose of 741 integrating bellow-type flexible joints in a continuous tube, is to transform the structural system 742 from continuous to segmented, and consequently to absorb the deformations due to imposed transverse displacements by joint rotations, thus preventing failure of the steel parts. Objective of 743 744 this investigation was to demonstrate the efficiency of this concept and to calibrate numerical 745 models for subsequent numerical parametric studies. These goals have been achieved in a satisfactory manner. 746

Namely, maximum compressive and tensile strains, which are a measure of the pipe's susceptibility to failure by local buckling and girth weld fracture, respectively, have been reduced by three orders of magnitude due to the integration of bellow-type flexible joints, practically eliminating the risk of these two failure modes. In addition, satisfactory prediction of test results by numerical analyses was possible, modeling the pipe segments with beam elements and the joints with equivalent springs.

753 The presented investigation is part of a feasibility study on the use of bellows along pipes 754 crossing active faults. The results have provided confidence on the effectiveness of such joints in 755 reducing the developing longitudinal strains of the pipe, highlighting them as a promising protective 756 measure in such cases. In practical applications bellows with sufficient deformation capacity must 757 be specified, so that they can accommodate imposed deformations behaving elastically. Moreover, 758 several constructional considerations must be resolved before this concept can be actually 759 implemented, such as bellow protection against corrosion, pipe – bellow proper welding and bellow 760 long-term behavior.

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